

UTILITY
PATENT APPLICATION
TRANSMITTAL

Attorney Docket No. P02010US1

First Inventor or Application Identifier

Shelton E. Harrison, Jr., and David L. Smith

Title

"Electronic Bond & Guaranty Process and Business Method"

Express Mail Label No.

EK102705748US

(Only for nonprovisional applications under 37 C.F.R. § 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)2. ☒ Specification
(preferred arrangement set forth below)

Total Pages

- Descriptive title of the Invention

- Cross References to Related Applications

- Reference of Microfiche Appendix

- Background of the Invention

- Brief Summary of the Invention

- Brief Description of the Drawings (if filed)

- Detailed Description

- Claim(s)

- Abstract of the Disclosure

3. ☒ Drawing(s) (35 U.S.C. 113)

Total Sheets

22

4. ☒ Oath or Declaration

Total Pages

4

a. ☒ Newly executed (original or copy)b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 17 completed)i. ☐

DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s)
named in the prior application, see 37 C.F.R. §§
1.63(d)(2) and 1.33 (b)

Incorporation By Reference (useable if Box 4b is checked)

5. ☐ The entire disclosure of the prior application, from which a copy of the oath or
declaration is supplied under Box 4b, is considered to be a part of the
disclosure of the accompanying application and is hereby incorporated by
reference therein.ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 202316. ☐ Microfiche Computer Program (Appendix)7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐ Computer Readable Copyb. ☐ Paper Copy (identical to computer copy)c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers (cover sheet & document(s))9. ☐ 37 C.F.R. § 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)10. ☐ English Translation Document (if applicable)11. ☐ Information Disclosure Statement ☐ Copies of IDS Citations
(IDS)/PTO-144912. ☐ Preliminary Amendment13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)14. ☐ *Small Entity Statement(s) ☒ Statement filed in prior
(PTO/SB/09-12) application, Status is proper and
desired15. ☐ Certified Copy of Priority Document(s)16. ☐ Other:*** NOTE FOR ITEMS 1 & 14: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY
FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF
ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)**

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No:

Prior application information:

Examiner:

Group / Art Unit:

18. CORRESPONDENCE ADDRESS

☒ Customer Number or bar code label

06-2375 (10016563)

or

☒

Correspondence address below

(Insert Customer No. or Attach bar code label here)

Name Fulbright & Jaworski L.L.P.

Address 1301 McKinney, Suite 5100

City Houston

State TX

ZIP Code

77010-3095

Country U.S.A.

Telephone

713/651-5391

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713/651-5246

Name (Print/Type) J. Ashley Herring

Registration No. (Attorney/Agent)

47,644

Signature

Date

03/01

05/03/2001

FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1.

These are the fees effective October 1, 1997.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

See 37 C.F.R. §§ 1.27 and 1.28

Complete If Known

Application No.	Filed Herewith
Filing Date	May 3, 2001
First Named Inventor	Shelton E. Harrison, Jr. & David L. Smith
Examiner Name	
Group / Art Unit	
Attorney Docket No.	P02010US1

TOTAL AMOUNT OF PAYMENT**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
- Deposit Account Number 06-2375
- Deposit Account Name Fulbright & Jaworski
- ☒ Charge Any Additional Fee Required Under 37 C.F.R. §§ 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 C.F.R. § 1.18 at the Mailing of the Notice of Allowance
2. ☐ Payment Enclosed:
- ☐ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FEE FILING**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	760	201	380	Utility filing fee	345
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
109	150	214	75	Provisional filing fee	
SUBTOTAL (1)					

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims <u>68</u>	- 20** = <u>48</u>	X <u>9</u>	= <u>432</u>
Independent Claims <u>17</u>	- 3** = <u>14</u>	X <u>40</u>	= <u>560</u>
Multiple Dependent			=


**or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent Claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)1332.0**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to examiner action	
113	1840*	113	1840*	Requesting publication of SIR after examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1360	218	680	Extension for reply within fourth month	
128	1850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1210	241	605	Petition to revive - unintentional	
142	1210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Statement	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Filing a submission after final rejection (37 C.F.R. 1.129(b))	
149	760	249	380	For each additional invention	
Other fee (specify)					
Other fee (specify)					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					

SUBMITTED BY

Typed or Printed Name	J. Ashley Herring	Reg. Number	47,644
Signature		Date	05/03/2001
		Deposit Account User ID	06-2375

25024450v1

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A REGISTERED LIMITED LIABILITY PARTNERSHIP

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May 3, 2001

Re: Applicant: Shelton E. Harrison, Jr. and David L. Smith
Filed: May 3, 2001
Title: "Electronic Bond & Guaranty Process and Business Method"
Our File No.: P02010US1

Assistant Commissioner for Patents
Washington, DC 20231

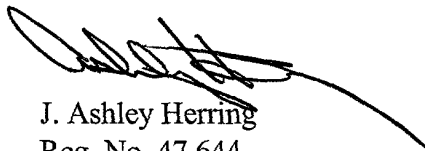
Dear Sir:

Enclosed please find:

- 1) U.S. Patent Application;
- 2) Utility Transmittal;
- 3) Fee Transmittal;
- 4) Combined Declaration and Power of Attorney;
- 5) Transmittal letter (in duplicate); and
- 6) Return Postcard.

If additional charges are due please charge the deposit account of Fulbright & Jaworski L.L.P., 06-2375 under Order No. P02010US1/10021686 from which the undersigned is authorized to draw.

Respectfully submitted,



J. Ashley Herring
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Counsel for Applicant

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